



Primary and Secondary Firms

OMB Approval No.1205-0342
Expires: 08/31/04

1. Petitioner Information (Required for each of the three petitioning workers or their authorized representative. If petition is filed by a union representative, family farmer or company official, only one petitioner required.) See instructions on reverse side on Who May File a Petition.

Name			
Street Address			
City, State, ZIP Code			
Telephone			
Date of Separation			
(Include Title if filed by Representative)			

2. Complete Name and Address of Company Division or Subdivision for **(Affected) Workers**

Company Name			
Company Division			
Address			
Contact Person	Telephone/FAX:		

3. Fully describe the products produced by the affected workers.

4. Number of workers affected: _____

5. a. Are job losses due to your company's (employer's) shift in production to Mexico or Canada?
b. Are job losses due to your company (employer) importing products manufactured in Mexico or Canada?
c. Are job losses due to your company (employer) losing sales to customers who are importing products from Mexico or Canada?
d. Are job losses due to your firm losing business (as a supplier or assembler/finisher) with a firm that 1.) shifted production to Mexico or Canada, or 2.) lost sales because of increased imports from Mexico or Canada?

YES	NO	UNKNOWN

IMPORTANT: If the answer to 5d is YES, fill out the Secondary Firm information on the reverse side.

Petitioners' Signature(s) (If worker submitted, requires three workers' signatures: if submitted by union, family farmer or company official, requires only one signature.)

1.	2.	3.	Petition Date:
GOVERNOR'S OFFICE USE ONLY			
Representative (name):	State Name:	Receipt Date:	
Telephone/FAX:	State Case #:	Company contacted? Y / N	

SECONDARY FIRM: Complete only if the answer to question 5d on the reverse was YES.

(A primary firm is one directly affected by imports from, or shift in production to, Mexico or Canada. A secondary firm is one indirectly affected.

1. Complete name and address of the primary firm affected by NAFTA A: Company Name

Company Name	Division:
Address	
Contact Person	Telephone/FAX:

2. Describe the article(s) produced by the primary firm: _____

3. Relationship of secondary firm to the primary firm (check one):

☐ Supply components/unfinished or semifinished goods to the primary firm.

☐ Assemble or finish products made by the primary firm

☐ Other: (specify) _____

Processing Instructions
Petition for NAFTA Transitional Adjustment Assistance

Persons are not required to respond to this collection of information unless it displays a currently valid OMB control number. Respondents obligation to reply to these reporting requirements are Voluntary. Public reporting burden for this collection is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Division of Trade Adjustment Assistance, Room C-5311, Washington, D.C. 20210 (Paperwork Reduction Project 1205-0342).

How this information will be used -The U.S. Department of Labor will use the information contained on the Form ETA 9042 to determine whether the group of workers meet the certification criteria established by law, for eligibility to apply for NAFTA-TAA.

Background

The North American Free Trade Agreement established a Transitional Adjustment Assistance Program to provide assistance for workers in firms directly impacted by imports from Canada or Mexico or by shifts in production to those countries. The transitional program provides affected workers with both rapid and early intervention and the opportunity to engage in long-term training while receiving income support. As part of the NAFTA Bridge Program, assistance is also available for workers in firms that were secondarily impacted, e.g. suppliers of components to firms directly impacted or assemblers/finishers for the primary (directly affected) firm.

Who may file a petition -A petition may be filed by a group of three or more workers, including farm workers, by their union or other duly authorized representative (including community-based organizations) or by a company official. The workers on whose behalf a petition is filed must be, or have been, employed regularly at the firm or subdivision identified in the petition. Workers' employment must be, or have been related to the production of articles (products) described in the petition.

General Instructions - Print or type. Complete all items. If more space is needed, attach additional sheets to this form. If available, attach any supporting documents such as statements by officials of the firm or newspaper articles.

Assistance in preparing a petition - Workers may request assistance in preparing a petition at any local employment security agency office.

Filing a petition - Petitions should be sent to the following address:

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